

Proposition: Let  $A$  be a Banach algebra with unit  $e$ ,  
 $B \subset A$  a closed subalgebra s.t.  $e \in B$ . Let  $x \in B$ . Then:

$$(a) \sigma_B(x) \subset \sigma_A(x) \subset \overline{\sigma_B(x)}$$

(b) Let  $S$  be a connected component of  $\mathbb{C} \setminus \sigma_A(x)$ .

Then either  $S \subset \sigma_B(x)$  or  $S \cap \sigma_B(x) = \emptyset$

(c) If  $\mathbb{C} \setminus \sigma_A(x)$  is connected, then  $\sigma_A(x) = \overline{\sigma_B(x)}$

Proof: (1)  $\sigma_A(x) \subset \sigma_B(x)$ :

Let  $\lambda \in \mathbb{C} \setminus \sigma_B(x) = f_B(x) \Rightarrow \lambda e - x$  is invertible  
 in  $B$ , hence it is also invertible in  $A$  (the same  
 inverse), so  $\lambda \in \mathbb{C} \setminus \sigma_A(x)$ .  $\square$

(2) Let  $S$  be a connected component of  $\mathbb{C} \setminus \sigma_A(x)$

Suppose that  $\lambda_0 \in S \cap \sigma_B(x)$ . Then  $R(\lambda_0, x) \notin B$ ,  
 so, by H-B theorem,  $\exists \varphi \in A^*$  s.t.  $\varphi(R(\lambda_0, x)) = 1$   
 $\wedge \varphi|_B = 0$

Then  $\lambda \mapsto \varphi(R(\lambda, x))$  is holomorphic on  $S$  (Prop. 8(v))  
 and  $\varphi(R(\lambda, x)) = 0$  for  $\lambda \in S \setminus \sigma_B(x)$   
 [then  $R(\lambda, x) \in B$ ]

$S \setminus \sigma_B(x)$  is an open set. If  $S \setminus \sigma_B(x) \neq \emptyset$ ,  
 it has accumulation points, so, by the  
 uniqueness theorem  $\varphi(R(\lambda, x)) = 0$  on  $S$ .  
 It is a contradiction, as  $\varphi(R(\lambda_0, x)) = 1$ .

Thus  $S \setminus \sigma_B(x) = \emptyset$ , so  $S \subset \sigma_B(x)$ .

This completes the proof of (b).

$$\textcircled{3} \quad \partial \sigma_B(x) \subset \sigma_A(x)$$

Let  $\lambda \in \sigma_B(x) \setminus \sigma_A(x)$ . By (3) ~~then~~

let  $S \subset \mathbb{C} \setminus \sigma_A(x)$  be the component

containing  $\lambda$ . By (3)  $\lambda \in S \subset \sigma_B(x)$ .

As  $S$  is open,  $\lambda \in \text{int } \sigma_B(x)$ , thus  $\lambda \notin \partial_B(x)$  ]

$$\textcircled{4} \quad \text{If } \mathbb{C} \setminus \sigma_A(x) \text{ is connected, then } \sigma_A(x) = \sigma_B(x)$$

$S := \mathbb{C} \setminus \sigma_A(x)$  is the only component. By (3)

either  $S \subset \sigma_B(x)$  or  $S \cap \sigma_B(x) = \emptyset$ .

The case  $S \subset \sigma_B(x)$  is impossible, as it would imply  $\sigma_B(x) = \mathbb{C}$ . ]

Corollary: A Banach-algebra,  $B$   $\subset A$  closed subalgebra,  
 $x \in B$ . Then (a)-(c) hold if we replace  
 $\sigma_A(x), \sigma_B(x)$  by  $\sigma_A(x) \cup \{0\}$  and  $\sigma_B(x) \cup \{0\}$

Proof Consider  $A^+$  and define  $\tilde{B} = \text{span}(\{\delta(z, 0), z \in B\} \cup \{0\})$   
 Then  $\tilde{B}$  is isomorphic to  $B^+$

$$\text{Hence } \sigma_{A^+}(x, 0) = \sigma_A(x) \cup \{0\}$$

$$\sigma_{\tilde{B}}(x, 0) = \sigma_B(x) \cup \{0\}$$

and we can apply the previous proposition

$$\text{to } (x, 0) \in \tilde{B} \subset A^+.$$