

Proof of Prop. IX.2:  $X$  - a Banach space,  $T \in C(X)$

$$(a) \sigma_p(T) \subset \sigma_{ap}(T)$$

OBVIOUS:  $\lambda \in \sigma_p(T) \Rightarrow \exists x \in X \quad \|x\|=1 \quad Tx = \lambda x$

Take  $x_n = x$  for  $n \in \mathbb{N}$ . Then  $(Tx_n - \lambda x_n) = 0 \rightarrow 0$ ,  
 $\Rightarrow \lambda \in \sigma_{ap}(T)$  ]

$$(b) \lambda \in \mathbb{C} \setminus \sigma_{ap}(T) \Leftrightarrow \lambda I - T \text{ is an isomorphism of } X \text{ onto } X$$

$\lambda \in \mathbb{C} \setminus \sigma_{ap}(T) \Leftrightarrow \exists c > 0 \quad \forall x \in X : \|(\lambda I - T)x\| \geq c$

$\Leftrightarrow \exists c > 0 \quad \forall x \in X : \|(\lambda I - T)x\| \geq c \|x\|$

$\Leftrightarrow \lambda I - T \text{ is an onto isomorphism}$  ]

$$(c) \sigma(T) = \sigma_{ap}(T) \cup \sigma_r(T)$$

obvious

c:  $\lambda \notin \sigma_{ap}(T) \cup \sigma_r(T) \stackrel{(b)}{\Rightarrow} \lambda I - T \text{ is an isomorphism of } X \text{ onto } X$ ,  
 hence its range is closed.

(imp.)  $\lambda I - T$  is one-to-one, hence  $\mathcal{R}(\lambda I - T)$  is dense  
 (as  $\lambda \notin \sigma_r(T)$ ).

This  $\mathcal{R}(\lambda I - T) = X$  (being closed and dense),  
 thus  $\lambda I - T$  is invertible. So  $\lambda \notin \sigma(T)$  ]

$$(d) \sigma_c(T) = \sigma_{ap}(T) \cup (\sigma_p(T) \cup \sigma_r(T)) = \sigma(T) \cup (\sigma_p(T) \cup \sigma_r(T))$$

①  $\lambda \in \sigma_c(T) \Rightarrow$ 

- $\lambda I - T$  is one-to-one, hence  $\lambda \notin \sigma_p(T)$
- $\mathcal{R}(\lambda I - T)$  is dense, hence  $\lambda \notin \sigma_r(T)$
- $\lambda \in \sigma(T) \cup \sigma_r(T) \Rightarrow \lambda \in \sigma_{ap}(T)$  by (c)

②  $\lambda \in \sigma(T) \cup (\sigma_p(T) \cup \sigma_r(T)) \Rightarrow$ 

- $\lambda \notin \sigma_p(T) \Rightarrow \lambda I - T$  is one-to-one  
 As  $\lambda \in \sigma(T)$ ,  $\lambda I - T$  is not onto
- $\lambda \notin \sigma_r(T)$ ,  $\lambda I - T$  one-to-one  $\Rightarrow$   
 $\mathcal{R}(\lambda I - T)$  is dense.  
 Hence  $\lambda \in \sigma_c(T)$ .

- (e)  $\lambda \in \sigma_r(T) \setminus \sigma_{ap}(T) \Leftrightarrow \lambda I - T$  is an isomorphism of  $X$  onto a proper closed subspace of

$\Rightarrow \lambda \in \sigma_r(T) \setminus \sigma_{ap}(T) \Rightarrow$

- \*  $\lambda \in \mathbb{C} \setminus \sigma_{ap}(T) \stackrel{(b)}{\Rightarrow} \lambda I - T$  is an isomorphism of  $X$  into  $X$  in part.  $R(\lambda I - T)$  is closed and  $\lambda I - T$  is one-to-one
- \*  $\lambda \in \sigma_r(T)$ ,  ~~$\lambda I - T$  is not one-to-one~~  
 $\Rightarrow R(\lambda I - T)$  is not dense

Hence  $R(\lambda I - T)$  is a proper closed subspace of  $X$

$\Leftarrow \lambda I - T$  is an isomorphism of  $X$  into  $X \stackrel{(b)}{\Rightarrow} \lambda \in \mathbb{C} \setminus \sigma_{ap}(T)$

Moreover,  $\lambda I - T$  is one-to-one and,  $R(\lambda I - T)$  is not dense, so  $\lambda \in \sigma_r(T)$